

Substitute for form 3449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/740,913
Filing Date	December 20, 2000
First Named Inventor	Masaya WAJIMA
Art Unit	2834
Examiner Name	M. O. Budd
Attorney Docket Number	36856.390

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	5,102,720	4/1992	Raj	

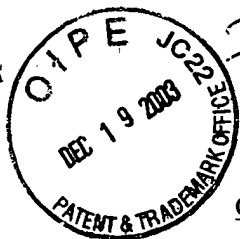
FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code*Number-Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ² See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.



Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: December 18, 2003


Christine M. Spivey

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PATENT
36856.390

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Masaya WAJIMA et al.	Art Unit: 2834
Serial No.: 09/740,913	
Filed: December 20, 2000	Examiner: M. O. Budd
Title: PIEZOELECTRIC RESONATOR AND PIEZOELECTRIC OSCILLATOR	

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD
Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith is a copy of one (1) reference cited in the First Office Action issued in a corresponding German Patent Application. For the Examiner's convenience, we have enclosed an English translation of the German First Office Action and a completed Form PTO-1449. Although the German First Office Action cited three (3) additional references (JP 5-59956, JP 11-177374 A and JP 4-192709 A), Applicants do not include a copy of these references as they were previously cited on December 20, 2000 and December 17, 2002 and considered by the

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Examiner. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

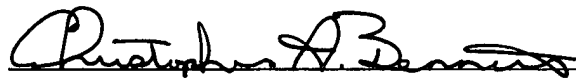
Applicants certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of this statement, and that this is the first citation of this prior art reference by a foreign patent office in a counterpart foreign patent application.

Applicants petition the Commissioner to consider this information disclosure statement pursuant to C.F.R. § 1.197(d). Enclosed is a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee. If any additional fee is required, the Commissioner is authorized to charge Deposit Account No. 50-1353.

Applicants respectfully request that the disclosed reference be made of record in the subject application.

Respectfully submitted,

Date: December 18, 2003



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